

DP GLOBAL SECURITIES (PVT) LTD

3rd Floor, Forbes & Walker Building
46/38, Nawam Mawatha, Colombo 2
Tel: +94 (0) 11 4700111 Fax: 94 (0) 112307365
E-mail: Colombo.dpglobal@dpmcfs.com

PLEASE READ CAREFULLY THE INSTRUCTIONS ON THE REVERSE AND COMPLETE THE REGISTRATION FORM IN BLOCK LETTERS FOR INTERNET TRADING SYSTEM.

I/We hereby request you to open an Internet Trading account and register me/us as a client of the company

CDS Account Number										I.A.															
<table border="1"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </table>																									
Control No.										<table border="1"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </table>															

Particulars of Applicant/s

Mr./Mrs./Miss./Dr./Rev./.....

1. Last Name with Initials:

2. Names Denoted by Initials:

3. Address (Home):

4. Address for Correspondence:

5. Occupation:

6. Address (Office):

7. Telephone No. (Home): (Office):

8. E-mail (Home): (Office):

9. NIC / Passport No. : 10. Date of Issue:

10. Nationality: 11. Date of Birth:

12. Connection Mode : Lease Line ADSL

Other Specify.....

13. User Name :

15. Location of machine where System is operated from :

And agree to inform location if changed.

2nd JOINT HOLDER

Mr./Mrs./Miss./Dr./Rev./.....

1. Last Name with Initials:

2. Names Denoted by Initials:

3. NIC / Passport No. : 4. Date of Issue:

5. Nationality: 6. Date of Birth:

3rd JOINT HOLDER

Mr./Mrs./Miss./Dr./Rev./.....

1. Last Name with Initials:

2. Names Denoted by Initials:

3. NIC / Passport No. : 4. Date of Issue:

5. Nationality: 6. Date of Birth:

FOR OFFICE USE ONLY

Approved by..... Date.....
(Director/Authorized Officer)

Approved by..... Date.....
(Investment Advisor)

Remarks.....

Branches:

Matara

No. 38 Station Road,
Matara.

Kandy

Ceybank House
No. 88 Dalada Veediya
Kandy.

Kurunegala

1st Floor, Union Assurance Bldg.
No. 6 Rajapihilla Road,
Kurunegala.

Negombo

1st Floor, Jude City Bldg.
142, Greens Road
Negombo

Galle

No. 12, 1st Floor, Asset Line Bldg.
China Garden
Galle

INTERNET TRADING TERMS AND CONDITIONS – Individuals

I / we do hereby agree to the terms and conditions which are mentioned below.

1. The trading is restricted only to the holder’s account.
2. Under any circumstance the user ID / Password holder should not revealed to anyone and it should be treated as strictly confidential.
3. It is an obligation of the Internet Trading ID / Password holder to keep the company informed immediately upon becoming aware that the user ID/Password has fallen into hands of any unauthorized party.
4. Sole and irrevocable responsibility shall vast on the account holder over the transaction carried out through the account including all data entry errors and any other errors. It is emphasized that the company has no bearing towards all transactions executed via Internet the account holder informed the company about such transaction.
5. It is a primary obligation of the account holder to get familiar with all the CSE and SEC rules and regulations and Stock Codes.
6. Accept that the Company’s records of transactions conclusive and binding for all purposes,
7. That the Company has the sole authority to revoke the Internet Trading facility at any time without prior notice.
8. The Company has the right to cancel or amend any orders placed through the system as and when the Company believes that the transaction do not emanate from the account holder.
9. The Company holds no liability, responsibility or accountability in anyway whatsoever for any loss or damage whatsoever arising by any malfunction or failure of the Internet Trading system or on failure or delay of the firm to act on instructions given via this medium.
10. I/WE am/are prepared to accept all risk incidental to or arising from the use of the Internet Trading system.
11. The Company holds the right to change the trading limits and controls of the system from time with or without prior notice.
12. Joint account holders are inter-alias jointly and severally bound by these terms and conditions and are jointly and severally liable for all transaction processed by the use of this facility irrespective of whether the instructions have been given by one or more Joint Holders.
13. All rules and regulations given by Colombo Stock Exchange, Securities Exchange Commission of Sri Lanka and Central Depository Systems (Private) Limited with regard to trading would be applicable for transactions carried out through this system.
14. The company reserves the right to vary these terms and conditions and fees applicable at any time and without prior notice.
15. Not to decimate to a third party or reproduce the information provided in the system in electronic or other format.
16. Take responsibility for all hardware, damage to hardware, network and firewall configuration and updation of virus guard.

I/WE place my/our signatures to express my consent and willingness to abide by the terms and conditions finished above.

Signature of Applicant/s

User signature :

2nd Joint Signature :

3rd Joint Signature :

Date: